



Serial No. 09/427,388

AMDT B #6  
12/3/03  
RCA 89,086 US  
Witcher  
EOT (1)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Grimes et al.  
Filed: October 26, 1999  
For: An Adaptive Transport Protocol Decoder  
Serial No.: 09/427,388  
Art Unit: 2666  
Examiner: Mr. Kevin C. Harper

AMENDMENT

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**

NOV 25 2003

**Technology Center 2600**

Sir:

In response to the Office Action dated July 17, 2003 Applicant amends the above-captioned application in the following manner.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 6 of this paper.

11/21/2003 JEALINAN 00000021 070832 09427388

01 FC:1251 110.00 DA

PTO/SB/17 (01-03) 2666

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT (\$)** 110.00

**Complete if Known**

Application Number 09/427,388

Filing Date 10/26/99

First Named Inventor K. L. Grimes, et al.

Examiner Name Kevin C. Harper

Group / Art Unit 2666

Attorney Docket No. RCA 89,086

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**NOV 25 2003**

**Technology Center 2600**

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 07-0832

Deposit Account Name Thomson Licensing Inc.

**The Commissioner is authorized to: (check all that apply)**

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	2001	770	375	Utility filing fee	
1002	2002	340	165	Design filing fee	
1003	2003	530	260	Plant filing fee	
1004	2004	770	375	Reissue filing fee	
1005	2005	160	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>(\$)</b> 0

**2. EXTRA CLAIM FEES**

Total Claims 20 \*\* = 0 X Fee from below = 0

Independent Claims 3 \*\* = 0 X Fee from below = 0

Multiple Dependent X Fee from below = 0

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1202	2202	18	9	Claims in excess of 20	
1201	2201	86	42	Independent claims in excess of 3	
1203	2203	290	140	Multiple dependent claim, if not paid	
1204	2204	86	42	** Reissue independent claims over original patent	
1205	2205	18	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					<b>(\$)</b> 0

**3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	2051	130	65	Surcharge - late filing fee or oath	
1052	2052	50	25	Surcharge - late provisional filing fee or cover sheet.	
1053	1053	130	130	Non-English specification	
1812	1812	2,520	2,520	For filing a request for reexamination	
1804	1804	920*	920*	Requesting publication of SIR prior to Examiner action	
1805	1805	1,840*	1,840*	Requesting publication of SIR after Examiner action	
1251	2251	110	55	Extension for reply within first month	110
1252	2252	420	205	Extension for reply within second month	
1253	2253	950	465	Extension for reply within third month	
1254	2254	1,480	725	Extension for reply within fourth month	
1255	2255	2,010	985	Extension for reply within fifth month	
1401	2401	330	160	Notice of Appeal	
1402	2402	330	160	Filing a brief in support of an appeal	
1403	2403	290	140	Request for oral hearing	
1451	1451	1,510	1,510	Petition to institute a public use proceeding	
1452	2452	110	55	Petition to revive - unavoidable	
1453	2453	1,330	650	Petition to revive - unintentional	
1501	2501	1,330	650	Utility issue fee (or reissue)	
1502	2502	480	235	Design issue fee	
1503	2503	640	315	Plant issue fee	
1460	1460	130	130	Petitions to the Commissioner	
1807	1807	50	50	Processing fee under 37 CFR 1.17 (q)	
1806	1806	180	180	Submission of Information Disclosure Stmt	
8021	8021	40	40	Recording each patent assignment per property (times number of properties)	
1809	2809	770	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	2810	770	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	2801	770	375	Request for Continued Examination (RCE)	
1802	1802	900	900	Request for expedited examination of a design application	
Other fee (specify) _____					
<b>*Reduced by Basic Filing Fee Paid</b>					<b>SUBTOTAL (3)</b> (\$) 110.00

**SUBMITTED BY**

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Signature [Signature]

Date November 17, 2003

**Complete (if applicable)**

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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